



# ***STIC Search Report***

***EIC 3600***

**STIC Database Tracking Number: 107708**

**To: James Myhar  
Location: KNX-5C83  
Art Unit: 3622  
Friday, July 13, 2007**

**Case Serial Number: 10/655549**

**From: Etelka R. Griffin  
Location: EIC 3600  
KNOX/4B68  
Phone: 571-272-4230**

**Etelka.griffin@uspto.gov**

## **Search Notes**

**Litigation Search  
6298329**

**Databases Searched:**

**Lexis/ Nexis  
Courtlink  
Questel-Orbit**

1 / 1 PLUSPAT - ©QUESTEL-ORBIT - image

**Patent Number :**

US6298329 B1 20011002 [US6298329]

**Title :**

(B1) Method and apparatus for generating a coupon

**Patent Assignee :**

(B1) WALKER DIGITAL LLC (US)

**Patent Assignee :**

Walker Digital, LLC, Stamford CT [US]

**Inventor(s) :**

(B1) ALDERUCCI DEAN (US); WALKER JAY S (US); VAN LUCHENE ANDREW S (US)

**Application Nbr :**

US7640998 19980512 [1998US-0076409]

**Filing Details :**

C.I.P. of US920116 19970826 [1997US-0920116]

C.I.P. of US822709 19970321 [1997US-0822709]

**Priority Details :**

US7640998 19980512 [1998US-0076409]

US92011697 19970826 [1997US-0920116]

US82270997 19970321 [1997US-0822709]

**Intl Patent Class :**

(B1) G06F-017/00 G06F-017/60

**IPC Advanced All :**

G06Q-010/00 [2006-01 A - I R M EP]; G06Q-020/00 [2006-01 A - I R M EP];

G06Q-030/00 [2006-01 A - I R M EP]; G07C-015/00 [2006-01 A - I R M EP];

G07F-005/24 [2006-01 A - I R M EP]; G07F-009/02 [2006-01 A - I R M EP];

G07F-017/32 [2006-01 A - I R M EP]; G07G-001/00 [2006-01 A - I R M EP];

G07G-001/12 [2006-01 A - I R M EP]; G07G-005/00 [2006-01 A - I R M EP]

**IPC Core All :**

G06Q-010/00 [2006 C - I R M EP]; G06Q-020/00 [2006 C - I R M EP];

G06Q-030/00 [2006 C - I R M EP]; G07C-015/00 [2006 C - I R M EP];

G07F-005/00 [2006 C - I R M EP]; G07F-009/02 [2006 C - I R M EP];

G07F-017/32 [2006 C - I R M EP]; G07G-001/00 [2006 C - I R M EP];

G07G-001/12 [2006 C - I R M EP]; G07G-005/00 [2006 C - I R M EP]

**EPO ECLA Class :**

G06Q-010/00E

G06Q-020/00

G06Q-020/00K3B

G06Q-030/00A

G06Q-030/00C

G07C-015/00D

G07F-005/24

G07F-009/02

G07F-017/32D

G07G-001/00C

G07G-001/12

G07G-005/00

**US Patent Class :**

ORIGINAL (O) : 705014000; CROSS-REFERENCE (X) : 705026000

**Document Type :**

Corresponding document

**Citations :**

USRe34915; US3573747; US4108361; US4323770; US4494197; US4500880;

US4669730; US4677553; US4689742; US4723212; US4760247; US4815741;

US4825045; US4839507; US4854590; US4859838; US4882473; US4908761;

US4910672; US4922522; US4937853; US4982337; US4993714; US5056019;

US5119295; US5128862; US5132914; US5172328; US5173851; US5177342;

US5192854; US5193056; US5201010; US5216595; US5223698; US5231569;

US5239165; US5243515; US5245533; US5262941; US5274547; US5283731;

US5287268; US5297031; US5302811; US5309355; US5353218; US5353219;

US5380991; US5420606; US5459306; US5481094; US5504475; US5510979;

US5572653; US5581064; US5592375; US5592376; US5602377; US5611052;  
US5612868; US5615269; US5620079; US5621201; US5621640; US5632010;  
US5649114; US5664115; US5665953; US5724886; US5822735; US5974399;  
US6085164; EP512413 A2; JP5242363; WO9735441 A2; WO9746961; WO9750064  
"Cape Town", Reuters, Nov. 8, 1979.

Jan Greene, "Farm Bills Please Assns.; National Grocers Association",  
Supermarket News, Dec. 23, 1985 at p. 6.

"POS Spectrum: A Lottery Looks to POS for Growth", POS News, Jan. 1989  
at p. 8.

"Winn-Dixie/The Salvation Army Report Contributions for War Against  
Hunger", PR Newswire, Jun. 10, 1993.

"Save the Mark" Financial Times (London), Section I; Men & Matter; p.  
12. Feb. 1, 1983.

Cook, Louise, "ConsumerWatch: Clip, Snip, Save", The Associated Press,  
Business News, Mar. 12, 1984.

Kuttner, Robert, "Computers May Turn The World Into One Big Commodities  
Pit", Business Week, Section: Economic Viewpoint, No. 3123, p. 17, Sep.  
11, 1989.

Schrage, Michael, "An Experiment in Economic Theory; Labs Testing Real  
Markets", The Record, Section: Business, p. B01, Nov. 26, 1989.

"Let's Play the Cash Register Receipts Lottery", The New York Times,  
Section 1, p. 30, Column 4, Editorial Desk, Dec. 25, 1990.

Del Fosso, Laura, "Marketel Says It Plans to Launch Air Fare auction in  
Jun.", Travel Weekly, vol. 50, No. 34, p. 1, Apr. 29, 1991.

"Philips offers customers financing through Citicorp; Philips Medical  
Systems North America, Citicorp North America Inc.", Health Industry  
Today, vol. 54, No. 6, p. 4, Jun. 1991.

Pelline, Jeff, "Travelers Bidding On Airline Tickets SF Firm Offers  
Chance for Cut-rate Fares", The San Francisco Chronicle, p. A4, Aug. 19,  
1991.

Del Rosso, Laura, "Ticket-bidding Firm Closes Its Doors; Marketel  
International Brief Article", Travel Weekly, Mar. 12, 1992, vol. 51, No.  
21, p. 1.

"Coupons get serious; Supermarkets use barcodes to prevent  
misredemptions", Chain Store Age Executive with Shopping Center Age,  
Oct. 1992, vol. 68, No. 10, p. 68.

Fiorini, Philip, "No Place for Penny?' / Smallest coin doesn't make  
cents to some", USA Today, Section: News, Jul. 29, 1994, p. 1A.

Smith, Alison, "Survey of UK Consumer Credit and Asset Finance",  
Financial Times, Nov. 3, 1994, p. VI.

Andreoli et al., "Cash Machines Offers a Whole Lotto Money for Withdrawal  
. . .", Crain's Chicago Business, Jun. 19, 1995, Section: News, p. 8.

"Spain: BBV Launches New Card", Cards International, Jun. 22, 1995, p.  
5.

Gapper, John, "NatWest Reports Rise in Bad Debt", Financial Times, Jul.

31, 1996, London Edition 3, Section: News: UK, p. 09.

"Lynx Technology: Lynx to provide business leasing programme through Schroder Leasing", M2 Presswire, Aug. 9, 1996.

Taylor, Paul, "Towards A Dream Market", Financial Times, (London), Sep. 4, 1996, p. 03.

"Cyber Bid", Net Fund Ltd., Copyright 1996, Brochure.

Bonnici et al., "Consumer issues in coupon usage: An exploratory analysis", Journal of Applied Business Research, Section: vol. 13, No. 1, p. 31-40, Winter 1996/1997.

Rehayem, Gilbert, "Opinion: X-Press Betting", LaFleur's Lottery World, Feb. 7, 1997, p. 4.

Singletary, Michelle, "Electronic World, Unchecked Problem? U.S. Move to Paperless Payments Raises Worries About Those Who Don't Use Banks", The Washington Post, Mar. 4, 1997, Final Edition, Financial Section, p. C01. Kelsy, John; Schneier, Bruce, "Conditional Purchase Orders", Fourth ACM Conference on Computer Communication Security, Apr. 1997, ACM Press, pp. 117-124.

Kelsy, John; Schneier, Bruce, "Conditional Purchase Orders", Fourth ACM Conference on Computer Communication Security, Apr. 1997, ACM Press, pp. 117-124.

"AVCO Financial Services", National Home Furnishing Association, (<http://www.homefurnish.com/NHFA/avco.htm>), download date: May 23, 1997.

Nairn, Geoff, "The key to your identity: Falling costs will allow fingerprint verification to be widely used", Financial Times (London), Jul. 15, 1997, Section: Technology, p. 12.

"The United Computer Exchange, How It All Works", The United Computer Exchange Corporation. (<http://www.uce.com/howitworks.htm>).sub.-, download date: Jul. 23, 1997.

"Classifieds2000: The Internet Classifieds", Classifieds2000, Inc. (<http://www.classifieds2000.com/cgi-cls/Display.exe?C2K+aboutus>), download date: Aug. 6, 1997.

"General Trading Floor Information and Terms Provided by tradingfloor.com", (<http://www.tradingfloor.com/info.htm>), download date: Aug. 14, 1997.

"Nasdaq--Information Sheet", (<http://home.axfrod.com/corfin/corfl1.htm>), download date: Aug. 15, 1997.

Prochaska-Cue, Kathy, "Acquiring Credit", (<http://ianrwww.unledu/IAN/PUBS/NEBFACTS/NF91-2.HTM>), download date: Sep. 3, 1997.

"About IAO", Interactive Auction Online, (<http://www.iaoauction.com/about.htm>), download date: Sep. 8, 1997.

"Welcome to Onsale", Auction Supersite: On Sale, (<http://www.iaoauction.com/about.htm>), download date: Sep. 8, 1997.

Kirk, Jim, "Digital Promotions Make Quick Point -McDonald's Testing New Technology on its Menus", Chicago Tribune, Dec. 26, 1997, pp. 1-2.

"Progressive Introduces Kitchen Display System (KDS) For Restaurants ",  
PR Newswire, Section: Financial News, Jan. 23, 1998.

Blair, Adam, "JICC Coupon Guide Recommends Upgrades at Front End",  
Supermarket News, Section: p. 23, Feb. 9, 1998.

Livingston, Kimball, "In-Store Systems--VideOcard Redux", RT Magazine,  
Mar. 1998, pp. 29-30.

"Advanced Mechanics Internet Specials", Internet Mechanics,  
(<http://www.metroplexweb.com/advcpn2.htm>), download date: Mar. 12, 1998.

U.P.C. Coupon Code Guidelines Manual, Uniform Code Council, Inc.  
(<http://www.uc-council.org/d31-3.htm>), download date: Mar. 12, 1998.

"New Wave Marketing", Times, Promotion, as SCA Quarterly  
Newsletter--First Quarter- Apr. 1998.

Hamstra, Mark, "Made-for-you'maneuvers signal competitive shift in ASR  
category", Nation's Restaurant News, Segment Study, Quick Serve, Apr.  
13, 1998.

Fogarty, Rich, "The Value of Service", Positive Input, The McDonald's  
POS-3 System Newsletter from Olivetti Solutions/OLSY, Spring/Summer  
1998.

H & K Dallas Inc., McDonald's Universal Holding Cabinet Rollout Program,  
Winter 1998.

"NCR 7453 PC-Based Point-of-Sale Solution", NCR Corporation, Winter1998.

"Introducing the Digital MenuBoard", Siren Technologies Inc.

"For the Crew and the Customer, the Best Drive-Thru & Grill Service",  
Olivetti North America.

"From Our Family to Yours . . . ", 5 weeks of Coupon Values for a  
Valuable Customer, Wakefern Food Corporation, Corporate Mechandising,  
1998.

"It'in the Bag--Introducing the Universal Holding Cabinet from Welbilt",  
Frymaster Holding Bin.

**Publication Stage :**

(B1) U.S. Patent (no pre-grant pub.) after Jan. 2, 2001

**Abstract :**

A POS terminal generates a purchase price of a purchase, and generates a rounded price. The rounded price may be, for example, the lowest whole number greater than the purchase price. The POS terminal then calculates a round-up amount (change due the customer) as the difference between the purchase price and the rounded price. The coupon value is set based on the round-up amount. For example, the coupon may be redeemable for triple the amount of change due. The POS terminal prints on the coupon an identifier, such as a bar code, that is based on the coupon value. The bar code allows the coupon to be read by a POS terminal when the coupon is redeemed.

**Update Code :**

2001-41

**Patent Number :**

US6298329 B1 20011002 [US6298329]

**Application Number :**

US7640998 19980512 [1998US-0076409]

**Action Taken :**

19991130 US/AS-A

ASSIGNMENT

OWNER: WALKER DIGITAL, LLC. ONE HIGH RIDGE PARK STAMFORD; EFFECTIVE

DATE: 19991130

ASSIGNMENT OF ASSIGNORS INTEREST;ASSIGNOR:WALKER DIGITAL  
CORPORATION;REEL/FRAME:010648/0653

20001205 US/AS-A

ASSIGNMENT

OWNER: JAY WALKER FIVE HIGH RIDGE PARK STAMFORD CONNECTIC; EFFECTIVE

DATE: 20001201

SECURITY AGREEMENT;ASSIGNOR:WALKER DIGITAL, LLC;REEL/FRAME:011277/0178

20001221 US/AS-A

ASSIGNMENT

OWNER: GAP-WD HOLDINGS, INC. 3 PICKWICK PLAZA GREENWICH C; EFFECTIVE

DATE: 20001208

SECURITY INTEREST;ASSIGNOR:WALKER DIGITAL, LLC.;REEL/FRAME:011399/0501

20010531 US/AS-A

ASSIGNMENT

OWNER: WALKER, JAY FIVE HIGH RIDGE PARK STAMFORD CONNECTI; EFFECTIVE

DATE: 20010531

SECURITY INTEREST;ASSIGNOR:WALKER DIGITAL, LLC;REEL/FRAME:011874/0792

20010531 US/AS-A

ASSIGNMENT

OWNER: WALKER, JAY FIVE HIGH RIDGE PARKSTAMFORD, CONNECTI; EFFECTIVE

DATE: 20010531

SECURITY INTEREST;ASSIGNOR:WALKER DIGITAL, LLC  
/AR;REEL/FRAME:011874/0792

20020605 US/AS-A

ASSIGNMENT

OWNER: RETAILDNA, LLC FIVE HIGH RIDGE PARK STAMFORD CONNE; EFFECTIVE

DATE: 19990201

ASSIGNMENT OF ASSIGNORS INTEREST;ASSIGNOR:WALKER DIGITAL,  
LLC;REEL/FRAME:012944/0515

20020605 US/AS-A

ASSIGNMENT

OWNER: JONATHAN OTTO C/O REAI DNA, LLC FIVE HIGH RIDGE PA; EFFECTIVE

DATE: 20020502

SECURITY AGREEMENT;ASSIGNOR:RETAILDNA, LLC;REEL/FRAME:012944/0620

20020605 US/AS-A

ASSIGNMENT

OWNER: WALKER DIGITAL MANAGEMENT, LLC C/O REAI DNA, LLC C; EFFECTIVE

DATE: 20020502

SECURITY AGREEMENT;ASSIGNOR:RETAILDNA, LLC;REEL/FRAME:012944/0620

20020605 US/AS-A

ASSIGNMENT

OWNER: RETAILDNA, LLC FIVE HIGH RIDGE PARKSTAMFORD, CONNE; EFFECTIVE

DATE: 19990201

ASSIGNMENT OF ASSIGNORS INTEREST;ASSIGNOR:WALKER DIGITAL, LLC  
/AR;REEL/FRAME:012944/0515

20020605 US/AS-A

ASSIGNMENT

OWNER: JONATHAN OTTO C/O REAI DNA, LLC FIVE HIGH RIDGE PA; EFFECTIVE  
DATE: 20020502  
SECURITY AGREEMENT;ASSIGNOR:RETAILDNA, LLC /AR;REEL/FRAME:012944/0620

20030213 US/AS-A  
ASSIGNMENT

OWNER: JSW INVESTMENTS, LLC 124 SPECTACLE LANERIDGEFIELD;; EFFECTIVE  
DATE: 20021226  
SECURITY INTEREST;ASSIGNOR:WALKER DIGITAL, LLC;REEL/FRAME:013740/0219

20030213 US/AS-A  
ASSIGNMENT

OWNER: JSW INVESTMENTS, LLC 124 SPECTACLE LANERIDGEFIELD;; EFFECTIVE  
DATE: 20021226  
SECURITY INTEREST;ASSIGNOR:WALKER DIGITAL, LLC  
/AR;REEL/FRAME:013740/0219

20040928 US/RF-A  
REISSUE APPLICATION FILED  
EFFECTIVE DATE: 20031002

20050627 US/AS-A  
ASSIGNMENT

OWNER: WALKER DIGITAL, LLC, CONNECTICUT; EFFECTIVE DATE: 20050527  
RELEASE BY SECURED PARTY;ASSIGNOR:JSW INVESTMENTS,  
LLC;REEL/FRAME:017783/0080

20051206 US/AS-A  
ASSIGNMENT

OWNER: WALKER DIGITAL, LLC, CONNECTICUT; EFFECTIVE DATE: 20050527  
RELEASE OF SECURITY INTEREST;ASSIGNOR:JSW INVESTMENTS,  
LLC;REEL/FRAME:018668/0615

20060127 US/AS-A  
ASSIGNMENT

OWNER: WALKER DIGITAL, LLC, CONNECTICUT; EFFECTIVE DATE: 20060125  
RELEASE OF LIEN;ASSIGNOR:GAP-WD HOLDINGS, INC.;REEL/FRAME:017073/0445

20060127 US/AS-A  
ASSIGNMENT

OWNER: WALKER DIGITAL, LLC, CONNECTICUT; EFFECTIVE DATE: 20060125  
RELEASE OF LIEN;ASSIGNOR:WALKER, JAY;REEL/FRAME:017073/0477

**Update Code :**

2007-26

1 / 1 PLUSPAT - ©QUESTEL-ORBIT - image

**Patent Number :**

US6285987 B1 20010904 [US6285987]

**Title :**

(B1) Internet advertising system

**Patent Assignee :**

(B1) ENGAGE INC (US)

**Patent Assignee :**

Engage, Inc., Andover MA [US]

**Inventor(s) :**

(B1) ROTH DAVID WILLIAM (US); SALISBURY DYLAN (US)

**Application Nbr :**

US78797997 19970122 [1997US-0787979]

**Priority Details :**

US78797997 19970122 [1997US-0787979]

**Intl Patent Class :**

(B1) G06F-017/40 G06F-017/60

**IPC Advanced All :**

G06Q-030/00 [2006-01 A - I R M EP]

**IPC Core All :**

G06Q-030/00 [2006 C - I R M EP]

**EPO ECLA Class :**

G06Q-030/00A

**US Patent Class :**

ORIGINAL (O) : 705027000; CROSS-REFERENCE (X) : 705014000 705026000  
705037000 705400000

**Document Type :**

Corresponding document

**Citations :**

US4703423; US4789928; US5105184; US5305195; US5515270; US5584025;  
US5684963; US5710884; US5721827; US5724521; US5740549; US5745882;  
WO9726729

Frook, John Evans, "Web sites sell ad space through auction--Despite industry skepticism, Individual Inc. and Netscape try out new strategies", Interactive Age, v2, n20, p. 5, 1 page, Jul. 1995.\*

Article by Toland, P., entitled "CNET: The Computer Network Unveils Revolutionary Internet Advertising Tool That Allows Customer Banner Ad Delivery Based on Demographic Information", PR Newswire, Dateline: San Francisco, Dec. 6, 1995 (3 pages).

"Sites Dip Into Cookies to Track User Info", 2 pgs, Obtained from Internet site <http://www.webweek.com> printed from Internet Aug. 5, 1996.

"Cybertimes Start Ups Plot to make the Web Comfortable for Advertisers" 4 pgs Obtained from Internet site <http://www.netgravithy.com> printed from Internet Mar. 28, 1996.

"Click here for DoubleClick Information Request Form et. seq." 82 pgs, Obtained from Internet site <http://www.doubleclick.net> printed from Internet Aug. 4, 1996.

"Focalink Welcome to Focalink" 34 pgs. Obtained from Internet site <http://www.focalink.com> etc. printed from Internet Aug. 8, 1996.

"About Worlddata Worlddata Information Marketing Services", 48 pgs. Obtained from Internet site <http://www.worlddata.com> printed from



Internet Sep. 3, 1996.

Imgis AdForce Features and Benefits: 12 pgs Obtained from Internet site  
http://www.imgis.com printed from Internet Jul. 28, 1996.

**Publication Stage :**

(B1) U.S. Patent (no pre-grant pub.) after Jan. 2, 2001

**Abstract :**

A system for providing advertisements from a central server to viewers who access web sites. The central server stores both advertisements which are to be displayed and an information data base. The data base includes information about viewers, information about the characteristics of particular web sites and other information relevant to which advertisements should be displayed for particular viewers. Proposed bids submitted by different advertisers are evaluated in real time in order to determine which particular advertisement will be displayed to a viewer. Each proposed bid can specify a price or amount that the advertiser is willing to pay for the opportunity to display an advertisement (a) to a viewer who has a particular set of characteristics and (b) on a web site and web page that meets a particular set of criteria. The system includes (a) a web server system which has data bases stored therein, (b) bidding agents which compare the characteristics of view-ops to the specifications in proposed bids and which submit bids as appropriate, and (c) bid selection logic which decides which bid to accept for each particular view-op.

**Update Code :**

2001-37

1 / 1 LGST - ©EPO

**Patent Number :**

US6285987 B1 20010904 [US6285987]

**Application Number :**

US78797997 19970122 [1997US-0787979]

**Action Taken :**

20010612 US/AS-A

ASSIGNMENT

OWNER: ENGAGE, INC. 100 BRICKSTONE SQUARE ANDOVER MASSACH; EFFECTIVE

DATE: 20010612

ASSIGNMENT OF ASSIGNORS INTEREST;ASSIGNOR:ORST INC. (AKA FLYCAST COMMUNICATIONS);REEL/FRAME:011903/0079

20010612 US/AS-A

ASSIGNMENT

OWNER: ENGAGE, INC. 100 BRICKSTONE SQUAREANDOVER, MASSACH; EFFECTIVE

DATE: 20010612

ASSIGNMENT OF ASSIGNORS INTEREST;ASSIGNOR:ORST INC. (AKA FLYCAST COMMUNICATIONS) /AR;REEL/FRAME:011903/0079

20010810 US/AS-A

ASSIGNMENT

OWNER: ENGAGE, INC. 100 BRICKSTONE SQUARE ANDOVER MASSACH; EFFECTIVE

DATE: 20000921

ASSIGNMENT OF ASSIGNORS INTEREST;ASSIGNOR:ORST, INC. (AKA FLYCAST COMMUNICATIONS);REEL/FRAME:012084/0209

20010810 US/AS-A

ASSIGNMENT

OWNER: ENGAGE, INC. 100 BRICKSTONE SQUAREANDOVER, MASSACH; EFFECTIVE

DATE: 20000921

ASSIGNMENT OF ASSIGNORS INTEREST;ASSIGNOR:ORST, INC. (AKA FLYCAST COMMUNICATIONS) /AR;REEL/FRAME:012084/0209

20030331 US/AS-A

ASSIGNMENT

OWNER: BEH INVESTMENTS LLC 1652 48TH STREETBROOKLYN, NEW; EFFECTIVE

DATE: 20030220

ASSIGNMENT OF ASSIGNORS INTEREST;ASSIGNOR:ENGAGE

INC.;REEL/FRAME:013895/0778

20031125 US/RF-A

REISSUE APPLICATION FILED

EFFECTIVE DATE: 20030909

20060620 US/AS-A

ASSIGNMENT

OWNER: FLYCAST COMMUNICATIONS CORPORATION (A DELAWARE COR; EFFECTIVE

DATE: 19990430

MERGER;ASSIGNOR:FLYCAST COMMUNICATIONS CORPORATION (A CALIFORNIA  
CORPORATION);REEL/FRAME:017811/0238

20061119 US/AS-A

ASSIGNMENT

OWNER: BEH INVESTMENTS LLC, NEW YORK; EFFECTIVE DATE: 20060712

CONDITIONAL ASSIGNMENT;ASSIGNOR:CRAIG R. JALBERT, LIQUIDATING SUPERVISOR  
OF ENGAGE, INC.;REEL/FRAME:018535/0073

**Update Code :**

2007-09